Intellectual Property Administration

P. O. Box 272400

Fort Collins, Colorado 80527-2400

PATENT APPLICATION

ATTORNEY DOCKET NO. 200300640-1

ENT AND TRADEMARK OFFICE

Inventor(s):

Kent D. Vincent et al

Confirmation No.: 2708

Application No.: 10/658217

Examiner: n/a

Filing Date:

Sep 09, 2003

Group Art Unit: 2818

Title:

Method And Apparatus For Electro-Optical Disk Memory

Mail Stop Amendment **Commissioner for Patents** PO Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)

- (X) under 37 CFR 1.97 (c) together with either a:
 - (X) Statement under 37 CFR 1.97(e), or
 - () a \$180.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97 (d) together with a:
 - () Statement under 37 CFR 1.97(e)(1) or (2), and
 - () a \$180.00 fee set forth in 37 CFR 1.17(p). (Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$_\$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

- (X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.
- A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

(X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: 2 August 2005

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Rev 12/04 (IDSCERT)

Respectfully submitted,

Kent D. Vincent et al

Susan E. Heminger

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Reg. No. 36,449

Date: 2 August 2005

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

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- () No item of information contained in the Information Disclosure Statement
 - -- was cited in a communication from a foreign patent office in a counterpart foreign application, and
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Respectfully submitted,

Kent D. Vincent et al

Susan E. Heminger

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/P1

Sheet 1

(Use as many sheets as necessary)

of 1

| Complete if Known | | | | |
|------------------------|--------------------|--|--|--|
| Application Number | 10/658217 | | | |
| Filing Date | September 09, 2003 | | | |
| First Named Inventor | Kent D Vincent | | | |
| Art Unit | 2818 | | | |
| Examiner Name | n/a | | | |
| Attorney Docket Number | 200300640-1 | | | |

| | | | U. S. PATENT | T DOCUMENTS | |
|-----------------------|--------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No.1 | Document Number Number-Kind Code ^{2 (# known)} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | ^{US-} 6556470 | 04-29-2003 | Vincent Kent D et al | |
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| | | us- 5623475 | 04-22-1997 | Pinnow et al | |
| | | US- | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
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| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | MM-DD-YYYY | | Or Relevant Figures Appear | 16 |
| | | EP 0735519 | 10-02-1996 | Dia Nippon | | |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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